Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2000

Open to Public Inspection

A For the 2000 calendar year, OR tax year period beginning JUL 1, 2000 and ending JUN 30, 2001

B Check if applicable: [ ] Change of address [ ] Change of name [ ] Initial return [ ] Final return [ ] Amended return (use also for state reporting)

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: THE BROOKINGS INSTITUTION

Number and street (or P O box if mail is not delivered to street address): 1775 MASSACHUSETTS AVENUE, N.W. Room/suite

City or town, state or country, and ZIP: WASHINGTON, DC 20036

D Employer identification number: 53-0196577

E Telephone number: (202) 797-6000

F Check ▶ [ ] if application pending

G Organization type (check only one) ▶ [X] 501(c) (3) ◀ (insert no) [ ] 527 OR [ ] 4947(a)(1)

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 900-EZ)

(H and I are not applicable to section 527 orgs)

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? N/A [ ] Yes [ ] No (If "No," attach a list.)

H(d) Is this a separate return filed by an organization covered by a group ruling? [ ] Yes [X] No

J Accounting method [ ] Cash [X] Accrual [ ] Other (specify) ▶

K Check here ▶ [ ] if the organization's gross receipts are normally not more than $25,000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data **Some states require a complete return**

I Enter 4-digit group exemption no (GEN) ▶

L Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ) ▶ [ ]

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

Revenue	1	Contributions, gifts, grants, and similar amounts received					
	a	Direct public support		1a	16,286,892.		
	b	Indirect public support		1b			
	c	Government contributions (grants)		1c	1,169,524.		
	d	Total (add lines 1a through 1c) (cash $ 17,057,091. noncash $ 399,325.)				1d	17,456,416.
	2	Program service revenue including government fees and contracts (from Part VII, line 93)				2	3,857,410.
	3	Membership dues and assessments				3	
	4	Interest on savings and temporary cash investments				4	440,886.
	5	Dividends and interest from securities				5	4,922,681.
	6 a	Gross rents SEE STATEMENT 1		6a	907,612.		
	b	Less rental expenses SEE STATEMENT 2		6b	587,463.		
	c	Net rental income or (loss) (subtract line 6b from line 6a)				6c	320,149.
	7	Other investment income (describe ▶)				7	
	8 a	Gross amount from sale of assets other than inventory	(A) Securities: 114,909,718.	8a	(B) Other:		
	b	Less cost or other basis and sales expenses	106,981,308.	8b			
	c	Gain or (loss) (attach schedule)	7,928,410.	8c			
	d	Net gain or (loss) (combine line 8c, columns (A) and (B)) STMT 3				8d	7,928,410.
	9	Special events and activities (attach schedule)					
	a	Gross revenue (not including $ ______ of contributions reported on line 1a)		9a			
	b	Less direct expenses other than fundraising expenses		9b			
	c	Net income or (loss) from special events (subtract line 9b from line 9a)				9c	
	10 a	Gross sales of inventory, less returns and allowances		10a	3,682,972.		
	b	Less cost of goods sold STATEMENT 5		10b	4,050,677.		
	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a) STMT 4				10c	<367,705.>
	11	Other revenue (from Part VII, line 103)				11	490,029.
	12	**Total revenue** (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)				12	35,048,276.
Expenses	13	Program services (from line 44, column (B))				13	24,606,748.
	14	Management and general (from line 44, column (C))				14	3,946,437.
	15	Fundraising (from line 44, column (D))				15	1,674,615.
	16	Payments to affiliates (attach schedule)				16	
	17	**Total expenses** (add lines 16 and 44, column (A))				17	30,227,800.
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)				18	4,820,476.
	19	Net assets or fund balances at beginning of year (from line 73, column (A))				19	266,026,536.
	20	Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 6				20	<28,670,210.>
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)				21	242,176,802.

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023001 12 19-00 LHA **For Paperwork Reduction Act Notice, see page 1 of the separate instructions** Form 990 (2000)

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash $378,900. noncash $	22	378,900.	378,900.	STATEMENT 10	
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	767,264.	0.	574,069.	193,195.
26 Other salaries and wages	26	13,654,562.	11,186,010.	1,728,325.	740,227.
27 Pension plan contributions	27	1,117,713.	866,937.	178,439.	72,337.
28 Other employee benefits	28	129,223.	85,204.	16,466.	27,553.
29 Payroll taxes	29	909,003.	705,055.	145,119.	58,829.
30 Professional fundraising fees	30				
31 Accounting fees	31	165,521.		165,521.	
32 Legal fees	32	79,408.		79,408.	
33 Supplies	33	865,358.	556,141.	275,019.	34,198.
34 Telephone	34	158,051.	162,750.	<15,381.>	10,682.
35 Postage and shipping	35	170,690.	152,194.	8,826.	9,670.
36 Occupancy	36	2,036,138.	1,443,294.	509,730.	83,114.
37 Equipment rental and maintenance	37	591,370.	148,978.	437,342.	5,050.
38 Printing and publications	38	189,904.	170,755.	14,241.	4,908.
39 Travel	39	1,147,105.	975,115.	31,205.	140,785.
40 Conferences, conventions, and meetings	40	1,407,767.	1,215,488.	40,896.	151,383.
41 Interest	41	187,086.		187,086.	
42 Depreciation, depletion, etc (attach schedule)	42	1,168,954.	266,853.	861,567.	40,534.
43 Other expenses (itemize)					
a	43a				
b	43b				
c	43c				
d	43d				
e SEE STATEMENT 7	43e	5,103,783.	6,293,074.	<1,291,441.>	102,150.
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D), carry these totals to lines 13 15	44	30,227,800.	24,606,748.	3,946,437.	1,674,615.

Reporting of Joint Costs Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ______, (ii) the amount allocated to Program services $ ______, (iii) the amount allocated to Management and general $ ______, and (iv) the amount allocated to Fundraising $

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶ SEE STATEMENT 8

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served publications issued etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)
a SEE STATEMENT 9 (Grants and allocations $)	9,411,192.
b FOREIGN POLICY STUDIES- FOCUSES ON COOPERATIVE SECURITY, GLOBAL SUSTAINABILITY, CONFLICT RESOLUTION, AND INTERNATIONAL DEVELOPMENT. (Grants and allocations $)	4,201,643.
c GOVERNMENT STUDIES- RESEARCH ON CONGRESSIONAL REFORM, THE PRESIDENCY, EDUCATION, HEALTH REFORM, CAMPAIGNS, ELECTIONS, AND PUBLIC ADMINISTRATION. (Grants and allocations $)	4,654,892.
d CENTER FOR PUBLIC POLICY EDUCATION- PROVIDES CONTINUING EDUCATION ON DOMESTIC AND FOREIGN POLICY ISSUES BY CONDUCTING CONFERENCES NATIONALLY AND ABROAD FOR CORPORATE AND FEDERAL EXECUTIVES. (Grants and allocations $)	3,031,286.
e Other program services (attach schedule) STATEMENT 11 (Grants and allocations $)	3,307,735.
f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶	24,606,748.

Part IV Balance Sheets

Note	Where required, attached schedules and amounts within the description column should be for end-of-year amounts only			(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest bearing			915.	45	1,521.
	46 Savings and temporary cash investments			32,773,685.	46	16,207,712.
	47 a Accounts receivable	47a	3,066,313.			
	b Less allowance for doubtful accounts	47b	71,944.	1,843,575.	47c	2,994,369.
	48 a Pledges receivable	48a	507,888.			
	b Less allowance for doubtful accounts	48b		519,494.	48c	507,888.
	49 Grants receivable			10,971,893.	49	10,504,016.
	50 Receivables from officers, directors, trustees, and key employees				50	
	51 a Other notes and loans receivable	51a				
	b Less allowance for doubtful accounts	51b			51c	
	52 Inventories for sale or use			724,112.	52	723,174.
	53 Prepaid expenses and deferred charges			321,599.	53	230,765.
	54 Investments - securities STMT 12 STMT 13 ▶ ☐ Cost ☒ FMV			214,134,956.	54	207,111,459.
	55 a Investments - land, buildings, and equipment basis	55a				
	b Less accumulated depreciation	55b			55c	
	56 Investments - other				56	
	57 a Land, buildings, and equipment basis	57a	22,086,173.			
	b Less accumulated depreciation	57b	8,609,343.	14,030,847.	57c	13,476,830.
	58 Other assets (describe ▶ SEE STATEMENT 14)			1,102,713.	58	940,756.
	59 **Total assets** (add lines 45 through 58) (must equal line 74)			276,423,789.	59	252,698,490.
Liabilities	60 Accounts payable and accrued expenses			3,291,444.	60	3,278,000.
	61 Grants payable				61	
	62 Deferred revenue			2,630.	62	175,452.
	63 Loans from officers, directors, trustees, and key employees				63	
	64 a Tax-exempt bond liabilities				64a	
	b Mortgages and other notes payable STMT 15			2,850,000.	64b	2,400,000.
	65 Other liabilities (describe ▶ SEE STATEMENT 16)			4,253,179.	65	4,668,236.
	66 **Total liabilities** (add lines 60 through 65)			10,397,253.	66	10,521,688.
Net Assets or Fund Balances	**Organizations that follow SFAS 117, check here** ▶ ☒ and complete lines 67 through 69 and lines 73 and 74					
	67 Unrestricted			207,993,023.	67	182,601,696.
	68 Temporarily restricted			15,870,655.	68	17,338,899.
	69 Permanently restricted			42,162,858.	69	42,236,207.
	Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74					
	70 Capital stock, trust principal, or current funds				70	
	71 Paid-in or capital surplus, or land, building, and equipment fund				71	
	72 Retained earnings, endowment, accumulated income, or other funds				72	
	73 **Total net assets or fund balances** (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)			266,026,536.	73	242,176,802.
	74 **Total liabilities and net assets / fund balances** (add lines 66 and 73)			276,423,789.	74	252,698,490.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

a	Total revenue, gains, and other support per audited financial statements	a	9,243,552.
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments $ <28670210.>		
(2)	Donated services and use of facilities $		
(3)	Recoveries of prior year grants $		
(4)	Other (specify) STMT 17 $ 2,865,486.		
	Add amounts on lines (1) through (4)	b	<25804724.>
c	Line a minus line b	c	35,048,276.
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) $		
	Add amounts on lines (1) and (2)	d	
e	Total revenue per line 12, Form 990 (line c plus line d)	e	35,048,276.

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

a	Total expenses and losses per audited financial statements	a	33,093,805.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities $		
(2)	Prior year adjustments reported on line 20, Form 990 $		
(3)	Losses reported on line 20, Form 990 $		
(4)	Other (specify) STMT 18 $ 2,866,005.		
	Add amounts on lines (1) through (4)	b	2,866,005.
c	Line a minus line b	c	30,227,800.
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) $		
	Add amounts on lines (1) and (2)	d	
e	Total expenses per line 17, Form 990 (line c plus line d)	e	30,227,800.

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
MICHAEL H. ARMACOST POTOMAC, MD	PRESIDENT 40	295,530.	59,706.	0.
NANCY PERKINS WASHINGTON, DC	SEC. & VP, EXT. AFFAIRS 40	193,195.	39,032.	0.
JULIE ENGLUND SILVER SPRING, MD	VP OF FINANCE & TREASURER 40	169,345.	34,258.	0.
FREDERICK L. SILBERNAGEL TAKOMA PARK, MD	CONTROLLER 40	109,194.	22,095.	0.
SEE ATTACHED SCHEDULE 17A	TRUSTEE 3	0.	0.	0.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes," attach schedule ☐ Yes ☒ No

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Part VI Other Information

		N/A	Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77		X
78 a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	X	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement.	79		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc, to any other exempt or nonexempt organization?	80a		X
b	If "Yes," enter the name of the organization ▶ ______ and check whether it is ☐ exempt OR ☐ nonexempt.			
81 a	Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81 — 81a: 0.			
b	Did the organization file Form 1120-POL for this year?	81b		X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a		X
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions for reporting in Part III) — 82b: N/A			
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? N/A	84b		
85	*501(c)(4), (5), or (6) organizations* a Were substantially all dues nondeductible by members? N/A	85a		
b	Did the organization make only in-house lobbying expenditures of $2,000 or less? N/A	85b		
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year			
c	Dues, assessments, and similar amounts from members — 85c: N/A			
d	Section 162(e) lobbying and political expenditures — 85d: N/A			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices — 85e: N/A			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e) — 85f: N/A			
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f? N/A	85g		
h	If section 6033(e)(1)(A) dues notice were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? N/A	85h		
86	*501(c)(7) organizations* Enter a Initiation fees and capital contributions included on line 12 — 86a: N/A			
b	Gross receipts, included on line 12, for public use of club facilities — 86b: N/A			
87	*501(c)(12) organizations* Enter a Gross income from members or shareholders — 87a: N/A			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) — 87b: N/A			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Part IX	88		X
89 a	*501(c)(3) organizations* Enter Amount of tax imposed on the organization during the year under section 4911 ▶ 0. , section 4912 ▶ 0. , section 4955 ▶ 0.			
b	*501(c)(3) and 501(c)(4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.			
d	Enter Amount of tax on line 89c, above, reimbursed by the organization ▶ 0.			
90 a	List the states with which a copy of this return is filed ▶ CA,CT,DC,IL,MD,MA,MI,MN,NJ,NY,OH,PA,VA			
b	Number of employees employed in the pay period that includes March 12, 2000 — 90b: 280			

91 The books are in care of ▶ FREDERICK SILBERNAGEL, CPA Telephone no ▶ (202) 767-6000

Located at ▶ 1775 MASS AVE, NW WASHINGTON, DC ZIP code ▶ 20036

92 *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* **Form 1041**- Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92 N/A

Part VII Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (A) Business code	(B) Amount	Excluded by section 512, 513, or 514 (C) Exclusion code	(D) Amount	(E) Related or exempt function income
93 Program service revenue					
a CONFERENCE FEES					3,782,676.
b EXTERNAL SALES	711120	74,734.			
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	440,886.	
96 Dividends and interest from securities	532420	<50,431.>	14	4,973,112.	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					320,149.
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	7,928,410.	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory	541800	<13,108.>			<354,597.>
103 Other revenue					
a VISITING SCHOLARS					278,333.
b MISCELLANEOUS			01	211,696.	
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		11,195.		13,554,104.	4,026,561.

105 **Total** (add line 104, columns (B), (D), and (E)) ▶ 17,591,860.

Note *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I*

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
	SEE STATEMENT 19

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

[redacted] ompanying schedules and statements and to the best of my knowledge and belief it is true
formation of which preparer has any knowledge (Important See General Instruction W)

4/13/02 ▶ FL Silbernagel Tu Treasurer

[redacted]

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545-0047

2000

Name of the organization: THE BROOKINGS INSTITUTION

Employer identification number: 53 0196577

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
PAUL LIGHT BETHESDA, MD	VP & DIRECTOR 40	180,309.	36,505.	
RON NESSEN WASHINGTON, DC	VP OF COMMUN. 40	155,286.	31,284.	
ROBERT LITAN ROCKVILLE, MD	VP & DIRECTOR 40	182,345.	36,893.	
THOMAS MANN BETHESDA, MD	SENIOR FELLOW 40	173,124.	34,967.	
HENRY AARON WASHINGTON, DC	SENIOR FELLOW 40	159,463.	32,150.	
Total number of other employees paid over $50,000 ▶	92			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
PRINCETON SURVEY RESEARCH ASSOCIATES 1211 CONNECTICUT AVE., NW STE 305 WASHINGTON, DC	RESEARCH SURVEYS	307,458.
PAUL LEONARD 716 CRAIGMONT AVE. BERKELY, CA 94708	RESEARCH/AUTHOR	206,458.
OAK ASSOCIATES, LTD 3875 EMBASSY PARKWAY, STE 250 AKRON, OH 44333	INVESTMENT ADVICE	178,160.
ALBERT HYDE 425 SCOTT ST. SAN FRANCISCO, CA 94117	EXECUTIVE EDUCATION INSTRUC	170,025.
OFFIT BANK 520 MADISON AVE. NEW YORK, NY 10022	INVESTMENT ADVICE	169,388.
Total number of others receiving over $50,000 for professional services ▶ 31		

Part III Statements About Activities

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum?	1		X
	If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ ______ Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?	2d	X	
e	Transfer of any part of its income or assets?	2e		X
	If the answer to any question is "Yes," attach a detailed statement explaining the transactions			
3	Does the organization make grants for scholarships, fellowships, student loans, etc ?	3	X	
4 a	Do you have a section 403(b) annuity plan for your employees?	4a		X
b	Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments (See page 2 of the instructions) SEE STATEMENT 20			

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V, page 5)

7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v)

9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶ ______

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A.)

11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust. Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)

12 ☐ An organization that normally receives **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A.)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	**(b)** Line number from above

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions)

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting**
Note *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

Calendar year (or fiscal year beginning in) ▶	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	9,848,652.	10,970,079.	13,452,943.	11,776,812.	46,048,486.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc , purpose	11,940,740.	1,829,848.	6,289,873.	6,078,108.	26,138,569.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	5,135,407.	5,873,017.	6,012,004.	5,627,441.	22,647,869.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets	1,631,330.	1,426,932.	SEE STATEMENT 21 1,500,544.	1,118,061.	5,676,867.
23 Total of lines 15 through 22	28,556,129.	20,099,876.	27,255,364.	24,600,422.	100,511,791.
24 Line 23 minus line 17	16,615,389.	18,270,028.	20,965,491.	18,522,314.	74,373,222.
25 Enter 1% of line 23	285,561.	200,999.	272,554.	246,004.	

26 **Organizations described on lines 10 or 11** a Enter 2% of amount in column (e), line 24 ▶ 26a 1,487,464.

b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a Enter the sum of all these excess amounts SEE STATEMENT 22 ▶ 26b 3,938,300.

c Total support for section 509(a)(1) test Enter line 24, column (e) ▶ 26c 74,373,222.

d Add Amounts from column (e) for lines 18 22,647,869. 19 ______ 22 5,676,867. 26b 3,938,300. ▶ 26d 32,263,036.

e Public support (line 26c minus line 26d total) ▶ 26e 42,110,186.

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f 56.6201%

27 **Organizations described on line 12** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from, each "disqualified person" Enter the sum of such amounts for each year

(1999) N/A (1998) (1997) (1996)

b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year N/A

(1999) (1998) (1997) (1996)

c Add Amounts from column (e) for lines 15 ______ 16 ______ 17 ______ 20 ______ 21 ______ ▶ 27c N/A

d Add Line 27a total ______ and line 27b total ______ ▶ 27d N/A

e Public support (line 27c total minus line 27d total) ▶ 27e N/A

f Total support for section 509(a)(2) test Enter amount on line 23, column (e) ▶ 27f N/A

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g N/A %

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ 27h N/A %

28 **Unusual Grants** For an organization described in line 10, 11, or 12, that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not include these grants in line 15 (See page 5 of the instructions)

NONE

Part V **Private School Questionnaire**
(To be completed ONLY by schools that checked the box on line 6 in Part IV) N/A

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement.)			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement.			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities**

(To be completed ONLY by an eligible organization that filed Form 5768) N/A

Check here ▶ ☐ If the organization belongs to an affiliated group

Check here ▶ ☐ If you checked "a" above and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
		N/A	
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table -	41		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		

If the amount on line 40 is -	The lobbying nontaxable amount is -
Not over \$500 000	20% of the amount on line 40
Over \$500 000 but not over \$1 000 000	\$100 000 plus 15% of the excess over \$500,000
Over \$1 000 000 but not over \$1 500 000	\$175 000 plus 10% of the excess over \$1 000 000
Over \$1 500 000 but not over \$17 000,000	\$225 000 plus 5% of the excess over \$1 500 000
Over \$17 000 000	\$1 000 000

Caution *If there is an amount on either line 43 or line 44, you must file Form 4720*

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 9 of the instructions.)

	Lobbying Expenditures During 4-Year Averaging Period				N/A
Calendar year (or fiscal year beginning in) ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B **Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers			
b Paid staff or management (include compensation in expenses reported on lines c through h)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (add lines c through h)			0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of:			
(i) Cash	51a(i)		X
(ii) Other assets	a(ii)		X
b Other transactions			
(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
(iii) Rental of facilities, equipment, or other assets	b(iii)		X
(iv) Reimbursement arrangements	b(iv)		X
(v) Loans or loan guarantees	b(v)		X
(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received N/A

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☒ No

b If "Yes," complete the following schedule N/A

(a) Name of organization	(b) Type of organization	(c) Description of relationship

023151 12-09-00

13520211 796186 BROOKINGS 2000.08000 THE BROOKINGS INSTITUTION BROOKIN1

SCHEDULE A IDENTIFICATION OF EXCESS CONTRIBUTIONS INCLUDED ON PART IV, LINE 26B STATEMENT 22

*** NOT OPEN TO PUBLIC INSPECTION ***

CONTRIBUTOR'S NAME	TOTAL CONTRIBUTION	EXCESS CONTRIBUTION
	2,726,942.	1,239,478.
	3,990,625.	2,503,161.
	1,550,000.	62,536.
	1,539,917.	52,453.
	1,555,600.	68,136.
	1,500,000.	12,536.
TOTAL EXCESS CONTRIBUTIONS TO SCHEDULE A, LINE 26B		3,938,300.

FORM 990 RENTAL INCOME STATEMENT 1

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
COMMERCIAL RENTAL-WASHINGTON, DC	1	907,612.
TOTAL TO FORM 990, PART I, LINE 6A		907,612.

FORM 990 RENTAL EXPENSES STATEMENT 2

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
RENTAL EXPENSES		587,463.	
- SUBTOTAL -	1		587,463.
TOTAL TO FORM 990, PART I, LINE 6B			587,463.

FORM 990 GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES STATEMENT 3

DESCRIPTION	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE OF SALE	NET GAIN OR (LOSS)
FIRST MANHATTAN	1,144,840.	1,293,955.	0.	<149,115.>
OFFIT BANK	69,232,552.	69,616,243.	0.	<383,691.>
KERN CAPITAL	4,070,027.	3,967,364.	0.	102,663.
SILCHESTER	637,186.	0.	0.	637,186.
TIFF PARTNERS 1	1,413,740.	0.	0.	1,413,740.
TIFF PARTNERS 2	2,095,716.	0.	0.	2,095,716.
MONTAG & CALDWELL	7,040,132.	8,405,820.	0.	<1,365,688.>
OAK ASSOCIATES	10,013,038.	3,797,444.	0.	6,215,594.
PINE GROVE	330,465.	0.	0.	330,465.
TT INTERNATIONAL INVESTMENT	0.	1,419,427.	0.	<1,419,427.>
EQUINOX	9,212,633.	9,125,743.	0.	86,890.
IRIDIAN	9,719,389.	9,345,661.	0.	373,728.
COMMONFUND	0.	9,651.	0.	<9,651.>
TO FORM 990, PART I, LINE 8	114,909,718.	106,981,308.	0.	7,928,410.

FORM 990 INCOME AND COST OF GOODS SOLD INCLUDED ON PART I, LINE 10 STATEMENT 4

INCOME

1. GROSS RECEIPTS	3,682,972	
2. RETURNS AND ALLOWANCES		
3. LINE 1 LESS LINE 2		3,682,972
4. COST OF GOODS SOLD (LINE 13)	4,050,677	
5. GROSS PROFIT (LINE 3 LESS LINE 4)		<367,705>

COST OF GOODS SOLD

6. INVENTORY AT BEGINNING OF YEAR		
7. MERCHANDISE PURCHASED		
8. COST OF LABOR		
9. MATERIALS AND SUPPLIES		
10. OTHER COSTS	4,050,677	
11. ADD LINES 6 THROUGH 10		4,050,677
12. INVENTORY AT END OF YEAR		
13. COST OF GOODS SOLD (LINE 11 LESS LINE 12)		4,050,677

FORM 990 COST OF GOODS SOLD - OTHER COSTS STATEMENT 5

DESCRIPTION	AMOUNT
PUBLICATIONS- SALARIES	1,039,084.
PUBLICATIONS- CONTRACT/HONOR	317,364.
PUBLICATIONS- PRINTING/PUBLISHING	636,969.
PUBLICATIONS- TRAVELING	23,823.
PUBLICATIONS- CONFERENCE	38,617.
PUBLICATIONS- OCCUPANCY	132,506.
PUBLICATIONS- COMMUNICATIONS & MAILINGS	512,048.
PUBLICATIONS- DEPRECIATION	18,018.
PUBLICATIONS- SUPPLIES	102,001.
PUBLICATIONS- MARKETING	337,601.
PUBLICATIONS- OTHER DIRECT COSTS	17,790.
DINING ROOM- OTHER OPERATING COSTS	1,124,070.
PUBLICATIONS - PAYROLL TAXES	65,495.
PUBLICATIONS - EMPLOYEE BENEFITS	80,532.
PUBLICATIONS - COST REALLOCATIONS	<395,241.>
TOTAL INCLUDED ON FORM 990, PART I, LINE 10B	4,050,677.

FORM 990 OTHER CHANGES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
UNREALIZED GAIN (LOSS) ON INVESTMENTS	<28,670,210.>
TOTAL TO FORM 990, PART I, LINE 20	<28,670,210.>

FORM 990 OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING
CONTRACT & HONORARIA	5,981,311.	5,407,963.	471,530.	101,818.
INSURANCE	65,141.		65,141.	
MARKETING & FULFILLMENT	327,251.	325,143.	2,108.	
MISCELLANEOUS	39,118.	103,606.	<64,820.>	332.
COST REALLOCATIONS	<1,623,038.>	456,362.	<2,079,400.>	
POST-RETIREMENT BENEFITS	314,000.		314,000.	
TOTAL TO FM 990, LN 43	5,103,783.	6,293,074.	<1,291,441.>	102,150.

FORM 990 STATEMENT OF ORGANIZATION'S PRIMARY EXEMPT PURPOSE STATEMENT 8
PART III

EXPLANATION

THE BROOKINGS INSTITUTION IS A PRIVATE NONPROFIT ORGANIZATION DEVOTED TO RESEARCH, EDUCATION, AND PUBLICATION IN ECONOMIC, GOVERNMENT, FOREIGN POLICY, AND THE SOCIAL SCIENCES GENERALLY. ITS PRINCIPAL PURPOSE IS TO BRING KNOWLEDGE TO BEAR ON THE CURRENT AND EMERGING PUBLIC POLICY PROBLEMS FACING THE AMERICAN PEOPLE. IN ITS RESEARCH, BROOKINGS FUNCTIONS AS AN INDEPENDENT ANALYST AND CRITIC, COMMITTED TO PUBLISHING ITS FINDINGS FOR THE INFORMATION OF THE PUBLIC. IN ITS CONFERENCES AND OTHER ACTIVITIES, IT SERVES AS A BRIDGE BETWEEN SCHOLARSHIP AND PUBLIC POLICY, BRINGING NEW KNOWLEDGE TO THE ATTENTION OF DECISION MAKERS, AND AFFORDING SCHOLARS A BETTER INSIGHT INTO PUBLIC POLICY ISSUES. ITS ACTIVITIES ARE CARRIED OUT THROUGH THREE RESEARCH PROGRAMS (ECONOMIC STUDIES, FOREIGN POLICY STUDIES, AND GOVERNMENTAL STUDIES), A CENTER FOR PUBLIC POLICY EDUCATION, A PUBLICATIONS PROGRAM, AND AN INFORMATION TECHNOLOGY SERVICE. AN ANNUAL REPORT IS ATTACHED.

FORM 990 STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS STATEMENT 9

DESCRIPTION OF PROGRAM SERVICE ONE

ECONOMIC STUDIES-
RESEARCH ON PRODUCTIVITY, TRADE, DEREGULATION, CORPORATE GOVERNANCE, TRANSPORTATION, HEALTH CARE, EDUCATION, POVERTY, WELFARE, ENERGY, AND TELECOMMUNICATIONS.

	GRANTS	EXPENSES
TO FORM 990, PART III, LINE A		9,411,192.

FORM 990 CASH GRANTS AND ALLOCATIONS STATEMENT 10

CLASSIFICATION	DONEE'S NAME	DONEE'S ADDRESS	DONEE'S RELATIONSHIP	AMOUNT
FELLOWSHIP	CHUNGSOO KIM	3049 SAINT REGENTS DR #423 FAIRFAX, VA 22031	NONE	40,000.
FELLOWSHIP	XIAOPING LI	2939 VAN NESS ST., NW WASHINGTON, DC 20036	NONE	50,000.
FELLOWSHIP	ALEXANDER LUKIN	10101 GROVESNOR PLACE ROCKVILLE, MD 20852	NONE	50,000.

FELLOWSHIP	KAZUO SATO	11800 OLD GEORGETOWN RD. NORTH BETHESDA, MD	NONE	29,500.
FELLOWSHIP	XINBO WU	CENTER FOR AMERICAN STUDIES, FUNDAN UNIVERISTY	NONE	4,500.
FELLOWSHIP	CHRIS KIN HING YEUNG	3823 HAMILTON STREET HYATTSVILLE, MD	NONE	50,000.
FELLOWSHIP	JOHN BAUGHMAN	1815 17TH ST., NW #505 WASHINGTON, DC 20009	NONE	2,600.
FELLOWSHIP	JENNIFER ERKULWATER	900 N. STUART ST. #1022 ARLINGTON, VA 22203	NONE	2,600.
FELLOWSHIP	JOHN A. FIGURA	1220 EAST WEST HIGHWAY #519 SILVER SPRING, MD	NONE	2,600.
FELLOWSHIP	CARLA SALSA GOMES	1722 19TH ST., NW #101 WASHINGTON, DC 20009	NONE	2,600.
FELLOWSHIP	AARON LOBEL	3117 HAWTHORNE ST., NW WASHINGTON, DC	NONE	14,900.
FELLOWSHIP	GREGORY HUBER	2141 P STREET NW #201 WASHINGTON, DC 20037	NONE	2,600.
FELLOWSHIP	MAJA MICEVSKA	634 NORTH HOWARD ST., #104 ALEXANDRIA, VA	NONE	14,900.
FELLOWSHIP	SCOTT KENNEDY	2818 MARSHALL STREET FALLS CHURCH, VA	NONE	2,600.
FELLOWSHIP	SCOTT LASENSKY	1868 COLUMBIA RD. NW #504 WASHINGTON, DC	NONE	2,600.
FELLOWSHIP	KEIR LIEBER	4421 Q STREET NW WASHINGTON, DC 20007	NONE	2,600.
FELLOWSHIP	RACHEL PAINE	3636 16TH ST., NW #B-550 WASHINGTON, DC	NONE	14,900.

FELLOWSHIP	LORI PLOTKIN	1701 16TH ST., NW #310 WASHINGTON, DC 20010	NONE	14,900.
FELLOWSHIP	ALEXANDER SOKOLOWSKI	5225 CONNECTICUT AVE., NW #310	NONE	14,900.
FELLOWSHIP	DARA STROLOVITCH	2141 P ST., NW #201 WASHINGTON, DC 20037	NONE	14,900.
FELLOWSHIP	CHAD SYVERSON	703 LINDEN GROVE PLACE #203 ODENTON, MD 21113	NONE	14,900.
FELLOWSHIP	DENNIS VENTRY	4206 WASHINGTON BLVD ARLINGTON, VA 22201	NONE	14,900.
FELLOWSHIP	JUNFU ZHANG	5524 BESLEY COURT #T3 ROCKVILLE, MD 20851	NONE	14,900.
TOTAL INCLUDED ON FORM 990, PART II, LINE 22				378,900.

FORM 990 OTHER PROGRAM SERVICES STATEMENT 11

DESCRIPTION	GRANTS AND ALLOCATIONS	EXPENSES
BROOKINGS FELLOWSHIP PROGRAM- RESIDENTIAL FELLOWSHIPS FOR PRE-DOCTORAL RESEARCH.		346,541.
INFORMATION TECHNOLOGY SERVICES- TECH. SUPPORT FOR INTERNAL AND EXTERNAL PROGRAMS.		1,907,950.
COMMUNICATIONS- VEHICLE FOR MAKING CONNECTIONS BETWEEN SCHOLARS' RESEARCH AND KEY AUDIENCES.		1,053,244.
TOTAL TO FORM 990, PART III, LINE E		3,307,735.

FORM 990 NON-GOVERNMENT SECURITIES STATEMENT 12

DESCRIPTION	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	OTHER SECURITIES	TOTAL NON-GOV'T SECURITIES
CONSUMER - DURABLES				651,705.	651,705.
MATERIALS AND SERVICES				10,165.	10,165.
CAPITAL GOODS				15593881.	15593881.
ENERGY				1,446,845.	1,446,845.
HEALTH CARE				6,730,736.	6,730,736.
FINANCIAL STOCK				9,617,100.	9,617,100.
MISCELLANEOUS STOCKS				475,876.	475,876.
UTILITIES STOCKS				2,325,447.	2,325,447.
LIMITED PARTNERSHIPS				63038599.	63038599.
CORPORATE BONDS				8,766,665.	8,766,665.
MUTUAL FUNDS EQUITY				34339076.	34339076.
BASIC INDUSTRY				1,384,802.	1,384,802.
TRANSPORTATION				167,620.	167,620.
FOREIGN OBLIGATIONS				7,109,643.	7,109,643.
TO FM 990, LN 54 COL B				151658160.	151658160.

FORM 990 GOVERNMENT SECURITIES STATEMENT 13

DESCRIPTION	U.S. GOVERNMENT	STATE AND LOCAL GOV'T	TOTAL GOV'T SECURITIES
CONSUMER - NON DURABLES		12,385,043.	12,385,043.
US GOVERNMENT & AGENCY	43,068,256.		43,068,256.
TOTAL TO FORM 990, LINE 54, COL B	43,068,256.	12,385,043.	55,453,299.

FORM 990 OTHER ASSETS STATEMENT 14

DESCRIPTION	AMOUNT
ACCRUED INTEREST	830,607.
AGENCY FUNDS	67,324.
DEPOSITS	42,825.
TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B	940,756.

FORM 990 — OTHER NOTES AND LOANS PAYABLE — STATEMENT 15

LENDER'S NAME	TERMS OF REPAYMENT
BANK OF AMERICA	MONTHLY

DATE OF NOTE	MATURITY DATE	ORIGINAL LOAN AMOUNT	INTEREST RATE
04/24/96	10/31/06	5,000,000.	6.98%

SECURITY PROVIDED BY BORROWER	PURPOSE OF LOAN
CUSTODIAL INVESTMENT ACCOUNT	GENERAL PURPOSE

RELATIONSHIP OF LENDER

NONE

DESCRIPTION OF CONSIDERATION	FMV OF CONSIDERATION	BALANCE DUE
	0.	2,400,000.
TOTAL INCLUDED ON FORM 990, PART IV, LINE 64, COLUMN B		2,400,000.

FORM 990 — OTHER LIABILITIES — STATEMENT 16

DESCRIPTION	AMOUNT
ACCRUED COMPENSATED LEAVE	1,234,000.
AGENCY FUND	114.
DEFERRED - OTHER	682,215.
ACCRUED POST-RETIREMENT	2,751,907.
TOTAL TO FORM 990, PART IV, LINE 65, COLUMN B	4,668,236.

FORM 990	OTHER REVENUE NOT INCLUDED ON FORM 990	STATEMENT 17

DESCRIPTION	AMOUNT
COST OF SALES REPORTED IN 10B- PUBLICATIONS	2,926,607.
COST OF SALES REPORTED IN 10B- DINING ROOM	<61,121.>
TOTAL TO FORM 990, PART IV-A	2,865,486.

FORM 990	OTHER EXPENSES NOT INCLUDED ON FORM 990	STATEMENT 18

DESCRIPTION	AMOUNT
COST OF SALES REPORTED IN 10B- PUBLICATIONS	2,926,607.
COST OF SALES REPORTED IN 10B- DINING ROOM	<61,121.>
ROUNDING ADJUSTMENT	519.
TOTAL TO FORM 990, PART IV-B	2,866,005.

FORM 990	PART VIII - RELATIONSHIP OF ACTIVITIES TO ACCOMPLISHMENT OF EXEMPT PURPOSES	STATEMENT 19

LINE	EXPLANATION OF RELATIONSHIP OF ACTIVITIES
	GENERAL COMMENT THE CHARTER OF THE BROOKINGS INSTITUTION STATES THAT THE BUSINESS AND OBJECTIVE OF THE BROOKINGS INSTITUTION ARE AS FOLLOWS: TO PROMOTE, CARRY ON, CONDUCT, AND FOSTER SCIENTIFIC RESEARCH, EDUCATION, TRAINING AND PUBLICATION IN THE BROAD FIELDS OF ECONOMICS, GOVERNMENT ADMINISTRATION, AND THE POLITICAL AND SOCIAL SCIENCES GENERALLY, INVOLVING THE STUDY, DETERMINATION, INTERPRETATION AND PUBLICATION OF ECONOMIC, POLITICAL AND SOCIAL FACTS AND PRINCIPLES RELATING TO QUESTIONS OF LOCAL, NATIONAL OR INTERNATIONAL SIGNIFICANCE; TO PROMOTE AND CARRY OUT THESE OBJECTIVES, PURPOSES AND PRINCIPLES WITHOUT REGARD TO AND INDEPENDENTLY OF THE SPECIAL INTERESTS OF ANY GROUP IN THE BODY POLITIC, EITHER POLITICAL, SOCIAL OR ECONOMIC.
93A	CONFERENCE FEES LEADERS IN GOVERNMENT, BUSINESS, AND OTHER PROFESSIONS ENRICH THEIR UNDERSTANDING OF PUBLIC POLICY ISSUES THROUGH THE CONFERENCES, SEMINARS, AND OTHER EDUCATION PROGRAMS SPONSORED BY THE CENTER FOR PUBLIC POLICY EDUCATION. THE CENTER DEVELOPS INNOVATIVE PROGRAMS RESPONSIVE TO THE CHANGING NEEDS OF TODAY'S LEADERS, BRINGS TOGETHER EXECUTIVES FROM ALL SECTORS TO DISCUSS POLICY PROBLEMS AND OPTIONS, CONVEYS THE FINDINGS OF BROOKINGS SCHOLARS TO LARGER AUDIENCES, AND CONVENES PUBLIC FORUMS ON MAJOR PUBLIC ISSUES.
93B	INFORMATION TECHNOLOGY SERVICES A COMPUTER CENTER IS MAINTAINED PRIMARILY TO FACILITATE THE WORK OF THE INSTITUTION'S OWN RESEARCH PROGRAMS AND THAT OF OTHER NONPROFIT

ORGANIZATIONS ABLE TO SHARE A FACILITY UNIQUELY DESIGNED TO SUPPORT SOCIAL SCIENCE AND PUBLIC ADMINISTRATION RESEARCH. THESE EXTERNAL USERS, BY USING THE ABOVE SPECIALIZED PROGRAMS, HELP BEAR THE COSTS OF MAINTAINING THE COMPUTER FACILITY. THIS EXTERNAL COMPUTING REVENUE IS GENERATED BY PROVIDING SERVICES DIRECTLY RELATED TO BROOKINGS' EXEMPT PURPOSES AND IS, THEREFORE, NOT UNRELATED IN NATURE, EXCEPT TO THE EXTENT USED BY EXTERNAL USERS FOR ADMINISTRATIVE PURPOSES.

102 PUBLICATIONS

AS STATED IN THE CERTIFICATE OF INCORPORATION, ONE OF THE OBJECTIVES OF THE INSTITUTION IS TO PUBLISH IN THE BROAD FIELDS OF ECONOMICS, GOVERNMENT ADMINISTRATION, AND THE POLITICAL AND SOCIAL SCIENCES

102 DINING ROOM

THE DINING ROOM FACILITIES ARE MAINTAINED PRIMARILY FOR THE CONVENIENCE OF THE STAFF MEMBERS AND AFFILIATED PERSONNEL OF THE BROOKINGS INSTITUTION, BUT GUEST PRIVILEGES TO USE THE DINING ROOM ARE EXTENDED TO STAFF MEMBERS OF NEARBY NON-PROFIT RESEARCH AND EDUCATIONAL ORGANIZATIONS WHOSE EXEMPT PURPOSES AND OBJECTIVES ARE SIMILAR TO THOSE OF THE BROOKINGS INSTITUTION. STAFF MEMBERS, OUTSIDE EXPERTS, SCHOLARS, AND EXECUTIVES FROM THE PUBLIC AND PRIVATE SECTORS ARE ABLE TO CONFER FOR THE PURPOSE OF ACQUIRING OR DISSEMINATING INFORMATION IN CONNECTION WITH THE INSTITUTION'S PROJECTS AND ACTIVITIES. THE DINING ROOM FACILITIES ARE ALSO USED FOR LUNCHEON AND DINNER MEETINGS IN FURTHERANCE OF BOTH THE RESEARCH AND EDUCATIONAL OBJECTIVES OF THE BROOKINGS INSTITUTION, TO PROVIDE A MEANS FOR CONDUCTING SUBSTANTIVE SESSIONS (IN CONJUNCTION WITH MEALS) DURING CONFERENCES, SEMINARS, AND ROUNDTABLES.

103 VISITING SCHOLARS

THE VISITING SCHOLARS PROGRAM IS OFFERED TO EXECUTIVES INTERESTED IN DEVOTING A YEAR OF RESEARCH AND INVOLVEMENT IN VARIOUS ACTIVITIES SUCH SUCH AS PUBLIC POLICY SEMINARS.
GENERALLY.

SCHEDULE A EXPLANATION OF QUALIFICATIONS TO RECEIVE PAYMENTS STATEMENT 20
PART III, LINE 4

STATEMENT ON GRANTS: THE ONLY PAYMENTS NOT FOR MATERIALS RECEIVED OR FOR SERVICES RENDERED ARE STIPENDS TO RESEARCH FELLOWS. EVERY YEAR, FELLOWSHIPS ARE AWARDED FOR PRE-DOCTORAL AND POST-DOCTORAL STUDY AT THE BROOKINGS INSTITUTION IN ECONOMICS, GOVERNMENT AND FOREIGN POLICY. THE RECIPIENTS ARE SELECTED FROM NOMINATIONS SUBMITTED BY GRADUATE DEPARTMENTS FROM UNIVERSITIES ACROSS THE COUNTRY.

SCHEDULE A OTHER INCOME STATEMENT 21

DESCRIPTION	1999 AMOUNT	1998 AMOUNT	1997 AMOUNT	1996 AMOUNT
DINING ROOM REVENUE	1,358,876.	1,193,859.	1,059,763.	734,302.
OTHER	272,454.	233,073.	440,781.	383,759.
TOTAL TO SCHEDULE A, LINE 22	1,631,330.	1,426,932.	1,500,544.	1,118,061.

The Brookings Institution – Board of Trustees

Address List

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Blue Bell, PA 19422

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1775 Massachusetts Avenue, NW
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University of Pennsylvania
The Wharton School
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P.O Box 4171
Sequim, WA 98382

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Senior Partner and Senior Counsellor
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Active Trustee
President and CEO
Fremont Group
199 Fremont Street, Suite 2500
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21st Floor
55 East 52nd Street
New York, NY 10055-0183

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Trust Company of the West
Suite 1800
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Fort Mill, SC 29716

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Times Mirror
Los Angeles Times
202 West First Street
Los Angeles, CA 90012

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Boston, MA 02109

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One American Road
Dearborn, MI 48126-2798

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Westport, CT 06880-1829

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Harvard University
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12 Quincy Street
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Retired Executive Vice President
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511 Arroyo Square
South Pasadena, CA 91030

The Brookings Institution – Board of Trustees
Address List

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Honorary Trustee
Distinguished Professor
in the Practice of Diplomacy
School of Foreign Service
Georgetown University
ICC-Room 301
Washington, DC 20057-1052

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1350 Eye Street, NW
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Chicago, IL 60603-2006

Pisar, (Dr.) Samuel
Honorary Trustee
International Lawyer (New York and Paris)
870 Fifth Avenue
New York, NY 10021

The Brookings Institution – Board of Trustees

Address List

The Brookings Institution – Board of Trustees

Address List

Form **8868** (December 2000) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545 1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☐
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Note *Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868*

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)

Note *Form 990-T corporations requesting an automatic 6 month extension—check this box and complete Part I only* ▶ ☐

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065 1066 or 1041

Type or print	Name of Exempt Organization: The Brookings Institution	Employer identification number: 53 0196577
File by the due date for filing your return See instructions	Number street and room or suite no If a P O box see instructions: 1775 Massachusetts Avenue, NW	
	City town or post office state and ZIP code For a foreign address see instructions: Washington, DC 20036	

Check type of return to be filed (file a separate application for each return)

☑ Form 990	☐ Form 990 T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990 EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☐ Form 990-PF	☐ Form 1041 A	☐ Form 8870

- If the organization does **not** have an office or place of business in the United States, check this box ▶ ☐
- If this is for a **Group Return**, enter the organization s four digit Group Exemption Number (GEN) ______ If this is for the **whole** group check this box ▶ ☐ If it is for part of the group check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until February 15 ~~20~~ 2002 to file the exempt organization return for the organization named above The extension is for the organization s return for
▶ ☐ calendar year 20 _ or
▶ ☑ tax year beginning July 1 20 00 and ending June 30 20 01

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL 990-PF, 990-T 4720 or 6069 enter the tentative tax less any nonrefundable credits See instructions $

b If this application is for Form 990-PF or 990-T enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit $

c **Balance Due** Subtract line 3b from line 3a Include your payment with this form or, if required deposit with FTD coupon or, if required by using EFTPS (Electronic Federal Tax Payment System) See instructions $

Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct, and complete and that I am authorized to prepare this form

Signature ▶ [signature] Title ▶ V.P. of Finance Date ▶ 11/05/01